

# Introduction To Discrete Mathematics

## Review

If you put  $n + 1$  pigeons in  $n$  pigeonholes then at least one hole would have more than one pigeon.

If  $n(r - 1) + 1$  objects are put into  $n$  boxes, then at least one of the boxes contains  $r$  or more of the objects.

If the average of  $n$  nonnegative integers  $a_1, a_2, \dots, a_n$  is greater than  $r - 1$ , i.e.,

$$\frac{a_1 + a_2 + \dots + a_n}{n} > r - 1,$$

then at least one of the integers is greater than or equal to  $r$ .

The number of  $r$ -permutations of an  $n$ -set equals

$$P(n, r) = n(n - 1) \cdots (n - r + 1) = \frac{n!}{(n - r)!}.$$

The number of permutations of an  $n$ -set is  $P(n, n) = n!$ .

The number of circular  $r$ -permutations of an  $n$ -set equals

$$\frac{P(n, r)}{r} = \frac{n!}{(n - r)!r}.$$

The number of circular permutations of an  $n$ -set is equal to  $(n - 1)!$

The number of  $r$ -combinations of an  $n$ -set equals

$$\binom{n}{r} = \frac{P(n, r)}{r!} = \frac{n!}{(n - r)!r!}.$$

The number of  $r$ -permutations of the multiset  $\{\infty \cdot x_1, \infty \cdot x_2, \dots, \infty \cdot x_k\}$  equals  $k^r$ .

The number of permutations of the multiset  $\{n_1 \cdot x_1, n_2 \cdot x_2, \dots, n_k \cdot x_k\}$  equals

$$\frac{n!}{n_1!n_2! \cdots n_k!}, \quad \text{where } n = n_1 + n_2 + \cdots + n_k$$

Then the number of  $r$ -combinations of the multiset  $\{\infty \cdot a_1, \infty \cdot a_2, \dots, \infty \cdot a_k\}$  (the number of  $r$ -combinations with repetition allowed) equals  $\binom{k+r-1}{r} = \binom{k+r-1}{k-1}$ .

The number of nonnegative integer solutions for the equation  $x_1 + x_2 + \cdots + x_k = r$  equals  $\binom{k+r-1}{r} = \binom{k+r-1}{k-1}$ .

The number of positive integer solutions for the equation  $x_1 + x_2 + \cdots + x_k = r$  equals  $\binom{r-1}{k-1}$ .

The number of ways to place  $r$  identical balls into  $k$  distinct boxes equals  $\binom{k+r-1}{r} = \binom{k+r-1}{k-1}$ .

The number of ways to place  $r$  identical balls into  $k$  distinct boxes such that no box remains empty equals  $\binom{r-1}{k-1}$ .

**Algorithm** for generating the permutations of  $\{1, 2, \dots, n-1, n\}$ :

Begin with  $\overleftarrow{1} \overleftarrow{2} \dots \overleftarrow{n}$ .

While there exists a mobile integer, do

- (1) Find the largest mobile integer  $m$
- (2) Switch  $m$  and the adjacent integer its arrow points to.
- (3) Switch the direction of all the arrows above integers  $p$  with  $p > m$ .

**Algorithm 1** for construction of a permutation from its inversion sequence  $(a_1, a_2, \dots, a_n)$ :

(n) Write down  $n$ .

...

(n-k) Insert  $n-k$  to the right of the  $a_{n-k}$ th existing number

...

**Algorithm 2** for construction of a permutation from its inversion sequence  $(a_1, a_2, \dots, a_n)$ :

(0) Mark down  $n$  empty spaces.

For  $k = 1$  till  $n$

Put  $k$  into the  $a_k + 1$ st empty space from the left.

**Algorithm** for generating combinations of  $\{x_{n-1}, x_{n-2}, \dots, x_1, x_0\}$ :

Begin with  $a_{n-1}a_{n-2} \dots a_1a_0 = 00 \dots 00$ .

While  $a_{n-1}a_{n-2} \dots a_1a_0 \neq 11 \dots 11$ , do

- (1) Find the smallest integer  $j$  such that  $a_j = 0$ .
- (2) Replace  $a_j$  by 1 and each of  $a_{j-1}, \dots, a_1, a_0$  by 0.

The algorithm stops when  $a_{n-1}a_{n-2} \dots a_1a_0 = 11 \dots 11$ .

**Algorithm** for generating reflected Gray codes of order  $n$ :

Begin with  $a_{n-1}a_{n-2} \dots a_1a_0 = 00 \dots 00$ .

While  $a_{n-1}a_{n-2} \dots a_1a_0 \neq 10 \dots 00$ , do

- (1) If  $a_{n-1} + a_{n-2} + \dots + a_1 + a_0 = \text{even}$ , then change  $a_0$  (from 0 to 1 or 1 to 0).
- (2) If  $a_{n-1} + a_{n-2} + \dots + a_1 + a_0 = \text{odd}$ , find the smallest  $j$  such that  $a_j = 1$  and change  $a_{j+1}$  (from 0 to 1 or 1 to 0).

**Algorithm** for generating  $r$ -combinations of  $S = \{1, 2, \dots, n-1, n\}$ :

Begin with  $12 \dots r$ .

While  $a_1a_2 \dots a_r \neq (n-r+1) \dots (n-1)n$ , do

- (1) Find the largest integer  $k$  such that  $a_k < n$  and  $a_k + 1$  is not in the  $a_1a_2 \dots a_r$ .
- (2) Replace  $a_1a_2 \dots a_r$  with

$$a_1a_2 \dots a_{k-1}(a_k + 1)(a_k + 2) \dots (a_k + r - k + 1).$$

**Algorithm** for a linear extension of an  $n$ -poset:

Step 1. Choose a minimal element  $x_1$  from  $X$  (with respect to the ordering  $\leq$ ).

Step 2. Delete  $x_1$  from  $X$ ; choose a minimal element  $x_2$  from  $X$ .

Step 3. Delete  $x_2$  from  $X$  and choose a minimal element  $x_3$  from  $X$ .

...

Step  $n$ . Delete  $x_{n-1}$  from  $X$  and choose the only element  $x_n$  in  $X$ .

For a real  $\alpha$  and an integer  $k$ ,

$$\binom{\alpha}{k} = \begin{cases} \frac{\alpha(\alpha-1)\cdots(\alpha-k+1)}{k!} & \text{if } k \geq 1 \\ 1 & \text{if } k = 0 \\ 0 & \text{if } k \leq -1. \end{cases}$$

$$\binom{n}{k} = \binom{n-1}{k} + \binom{n-1}{k-1} \quad (1 \leq k \leq n-1)$$

$$\binom{n}{k} = \binom{n}{n-k} \quad (0 \leq k \leq n)$$

$$k \binom{n}{k} = n \binom{n-1}{k-1} \quad (1 \leq k \leq n)$$

$$\binom{n}{0} + \binom{n}{1} + \binom{n}{2} + \cdots + \binom{n}{n} = 2^n \quad (n \geq 0)$$

$$\binom{n}{0} - \binom{n}{1} + \binom{n}{2} - \cdots + (-1)^n \binom{n}{n} = 0 \quad (n \geq 1)$$

$$\binom{n}{0} + \binom{n}{2} + \cdots = \binom{n}{1} + \binom{n}{3} + \cdots (= 2^{n-1}) \quad (n \geq 1)$$

$$1 \binom{n}{1} + 2 \binom{n}{2} + \cdots + n \binom{n}{n} = n2^{n-1} \quad (n \geq 1)$$

$$1^2 \binom{n}{1} + 2^2 \binom{n}{2} + \cdots + n^2 \binom{n}{n} = n(n+1)2^{n-2} \quad (n \geq 1)$$

$$\binom{n}{0}^2 + \binom{n}{1}^2 + \binom{n}{2}^2 + \cdots + \binom{n}{n}^2 = \binom{2n}{n} \quad (n \geq 0)$$

$$\binom{n}{0} + \binom{n+1}{1} + \binom{n+2}{2} + \cdots + \binom{n+k}{k} = \binom{n+k+1}{k}$$

$$\binom{0}{k} + \binom{1}{k} + \binom{2}{k} + \cdots + \binom{n}{k} = \binom{n+1}{k+1} \quad (1 \leq k \leq n)$$

**Binomial expansion.** For integer  $n \geq 1$  and variables  $x$  and  $y$ ,

$$(x+y)^n = \sum_{k=0}^n \binom{n}{k} x^{n-k} y^k.$$

**Newton's binomial expansion.** For a real  $\alpha$  and variables  $x$  and  $y$  with  $0 \leq |x| \leq |y|$ ,

$$(x+y)^\alpha = \sum_{k=0}^{\infty} \binom{\alpha}{k} x^k y^{\alpha-k}.$$

**Multinomial expansion.** For integer  $n \geq 1$  and variables  $x_1, x_2, \dots, x_t$ ,

$$(x_1 + x_2 + \cdots + x_t)^n = \sum_{n_1+n_2+\cdots+n_t=n; n_1, n_2, \dots, n_t \geq 0} \binom{n}{n_1, n_2, \dots, n_t} x_1^{n_1} x_2^{n_2} \cdots x_t^{n_t}.$$

**Sperner's theorem.** Any clutter of an  $n$ -set  $S$  contains at most  $\binom{n}{\lfloor \frac{n}{2} \rfloor}$  subsets of  $S$ .

The power set  $P(S)$  can be partitioned into  $m$  disjoint chains  $C_1, C_2, \dots, C_{\binom{n}{\lfloor \frac{n}{2} \rfloor}}$ .

Construction of a symmetric chain partition for the case  $n$  given a symmetric chain partition for the case  $n - 1$ : for each chain  $A_1 \subset A_2 \subset \dots \subset A_k$  for the case  $n - 1$ : if  $k \geq 2$ , do  $A_1 \subset A_2 \subset \dots \subset A_k \subset A_k \cup \{n\}$  and  $A_1 \cup \{n\} \subset A_2 \cup \{n\} \subset \dots \subset A_{k-1} \cup \{n\}$ ; if  $k = 1$ , do  $A_1 \subset A_2 \subset \dots \subset A_k \subset A_k \cup \{n\}$ .

**Dilworth's theorem.**

$$\min\{k : A_1 \cup \dots \cup A_k \text{ is an antichain partition}\} = \max\{|C| : C \text{ is a chain}\}.$$

$$\min\{k : C_1 \cup \dots \cup C_k \text{ is a chain partition}\} = \max\{|A| : A \text{ is an antichain}\}.$$

Let  $P_1, P_2, \dots, P_n$  be properties of the objects of a finite set  $S$ . Let  $A_i$  be the set of all elements of  $S$  that have the property  $P_i$ . The number of objects of  $S$  that have none of the properties  $P_1, P_2, \dots, P_n$  is given by

$$|\bar{A}_1 \cap \bar{A}_2 \cap \dots \cap \bar{A}_n| = |S| - \sum_i |A_i| + \sum_{i < j} |A_i \cap A_j| - \sum_{i < j < k} |A_i \cap A_j \cap A_k| + \dots + (-1)^n |A_1 \cap A_2 \cap \dots \cap A_n|.$$

The number of objects of  $S$  that have at least one of the properties  $P_1, P_2, \dots, P_n$  is given by

$$|A_1 \cup A_2 \cup \dots \cup A_n| = \sum_i |A_i| - \sum_{i < j} |A_i \cap A_j| + \sum_{i < j < k} |A_i \cap A_j \cap A_k| - \dots + (-1)^{n+1} |A_1 \cap A_2 \cap \dots \cap A_n|.$$

A permutation  $i_1 i_2 \dots i_n$  of  $\{1, 2, \dots, n\}$  is called a *derangement* if  $i_k \neq k$  for any  $1 \leq k \leq n$  (no number remains in its position). The number  $D_n$  of derangements of  $\{1, 2, \dots, n\}$  is given by

$$D_n = n! \left(1 - \frac{1}{1!} + \frac{1}{2!} - \frac{1}{3!} + \dots + (-1)^n \frac{1}{n!}\right).$$

The derangement sequence  $D_n$  satisfies the following recurrence relations

$$D_n = (n - 1)(D_{n-1} + D_{n-2}), \quad D_1 = 0, D_2 = 1, \quad \text{and}$$

$$D_n = nD_{n-1} + (-1)^n, \quad D_1 = 0.$$

A permutation of  $\{1, 2, \dots, n\}$  is called *nonconsecutive* if none of  $12, 23, \dots, (n-1)n$  occurs. The number  $Q_n$  of nonconsecutive permutations of  $\{1, 2, \dots, n\}$  is given by

$$Q_n = \sum_{k=0}^{n-1} (-1)^k \binom{n-1}{k} (n-k)!$$

For  $n \geq 2$ ,  $Q_n = D_n + D_{n-1}$ .

A circular permutation of  $\{1, 2, \dots, n\}$  is called *nonconsecutive* if none of  $12, 23, \dots, n1$  occurs. The number  $C_n$  of nonconsecutive circular permutations is given by

$$C_n = \sum_{k=0}^{n-1} (-1)^k \binom{n}{k} (n-k-1)! + (-1)^n.$$

Let  $|X| = m$  and let  $|Y| = n$ . The number of all functions from  $X$  to  $Y$  equals  $n^m$ . The number of injective functions from  $X$  to  $Y$  equals  $\binom{n}{m} m! = P(n, m)$ . The number  $S(m, n)$  of surjective functions from  $X$  to  $Y$  is given by

$$S(m, n) = \sum_{k=0}^n (-1)^k \binom{n}{k} (n-k)^m.$$

**Theorem 1.** Let  $q \neq 0$ . The geometric sequence  $h_n = q^n$  is a solution of the recurrence relation

$$h_n = a_1 h_{n-1} + a_2 h_{n-2} + \cdots + a_k h_{n-k}; \quad a_k \neq 0, n \geq k \quad (1)$$

if and only if the number  $q$  is a root of the characteristic equation

$$x^k - a_1 x^{k-1} - a_2 x^{k-2} - \cdots - a_{k-1} x - a_0 = 0. \quad (2)$$

**Theorem 2.** If the characteristic equation (2) has  $k$  distinct roots  $q_1, q_2, \dots, q_k$ , then the general solution of (1) is

$$h_n = c_1 q_1^n + c_2 q_2^n + \cdots + c_k q_k^n.$$

**Theorem 3.** Let  $q_1, q_2, \dots, q_s$  be distinct roots with the multiplicities  $m_1, m_2, \dots, m_s$  respectively for the characteristic equation (2). Then the sequences

$$\begin{aligned} & q_1^n, nq_1^n, n^2 q_1^n, \dots, n^{m_1-1} q_1^n; \\ & q_2^n, nq_2^n, n^2 q_2^n, \dots, n^{m_2-1} q_2^n; \\ & \dots \\ & q_s^n, nq_s^n, n^2 q_s^n, \dots, n^{m_s-1} q_s^n; \end{aligned}$$

are linearly independent solutions of the recurrence relation (1). Their linear combinations form the general solution of the recurrence relation (1).

**Theorem 4.** Let  $h_n^*$  be any particular solution of the recurrence relation

$$h_n = a_1 h_{n-1} + a_2 h_{n-2} + \cdots + a_k h_{n-k} + b_n; \quad a_k \neq 0, n \geq k, \quad (3)$$

and let  $\overline{h}_n$  be the general solution of its corresponding the homogeneous recurrence relation. Then  $h_n = \overline{h}_n + h_n^*$  is the general solution of the recurrence relation (3).

Consider a first-order linear nonhomogeneous recurrence relation

$$h_n = ah_{n-1} + b_n; \quad n \geq 1 \quad (4)$$

**Theorem 5.** Let  $b_n = cq^n$ . Then (4) has a particular solution of the following form:

- If  $q \neq a$ , then  $h_n^* = Aq^n$ .
- If  $q = a$ , then  $h_n^* = Anq^n$ .

**Theorem 6.** Let  $b_n = \sum_{i=0}^k c_i n^i$ .

- If  $a \neq 1$ , then (4) has a particular solution of the form  $h_n^* = A_0 + A_1 n + A_2 n^2 + \cdots + A_k n^k$ .
- If  $a = 1$ , then the solution of (4) is  $h_n = h_0 + \sum_{i=0}^k b_i$ ,

**Theorem 7.** Given a nonhomogeneous linear recurrence relation of the second order

$$h_n = a_1 h_{n-1} + a_2 h_{n-2} + cq^n; \quad n \geq 2 \quad (5)$$

Let  $q_1$  and  $q_2$  be solutions of its characteristic equation  $x^2 - a_1 x - a_2 = 0$ . Then (6) has a particular solution of the following forms:

- If  $q \neq q_1, q \neq q_2$ , then  $h_n^* = Aq^n$ .
- If  $q = q_1, q \neq q_2$ , then  $h_n^* = Anq^n$ .

- If  $q = q_1 = q_2$ , then  $h_n^* = An^2q^n$ .

**Theorem 8.** Given a nonhomogeneous linear recurrence relation of the second order

$$h_n = a_1h_{n-1} + a_2h_{n-2} + b_n; \quad n \geq 2 \quad (6)$$

where  $b_n$  is a polynomial function of  $n$  with degree  $k$ .

- If  $a_1 + a_2 \neq 1$ , then (6) has a particular solution of the form:

$$h_n^* = A_0 + A_1n + A_2n^2 + \cdots + A_kn^k,$$

where the coefficients  $A_0, A_1, \dots, A_k$  are to be determined. If  $k \leq 2$ , then a particular solution has the form

$$h_n^* = A_0 + A_1n + A_2n^2.$$

- If  $a_1 + a_2 = 1$ , then (6) can be reduced to a first order recurrence relation

$$g_n = (a_1 - 1)g_{n-1} + b_n, \text{ where } g_n = h_n - h_{n-1} \text{ for } n \geq 1.$$

For the sequence  $a_0, a_1, a_2, \dots, a_k, \dots$ , its ordinary and exponential generating functions are given by

$$\begin{aligned} A(x) &= a_0 + a_1x + a_2x^2 + \cdots + a_nx^n + \cdots \\ A^{(e)}(x) &= a_0 + b_1\frac{x}{1!} + a_2\frac{x^2}{2!} + \cdots + a_k\frac{x^k}{k!} + \cdots \end{aligned}$$

$$\begin{aligned} A(x)B(x) &= \sum_{k=0}^{\infty} \left( \sum_{i=0}^k a_i b_{k-i} \right) x^k \\ A^{(e)}(x)B^{(e)}(x) &= \sum_{k=0}^{\infty} \left( \sum_{i=0}^k \binom{k}{i} a_i b_{k-i} \right) \frac{x^k}{k!} \end{aligned}$$

Some ordinary generating functions:

$a_i$	1	$c^i$	$i$	$i^2$	$\binom{n}{i}$	$\binom{n+i-1}{i}$	$\frac{1}{i}; a_0 = 0$
$A(x)$	$\frac{1}{1-x}$	$\frac{1}{1-cx}$	$\frac{x}{(1-x)^2}$	$\frac{x(1+x)}{(1-x)^3}$	$(1+x)^n$	$(1-x)^{-n}$	$\ln \frac{1}{1-x}$

Some exponential generating functions:

$a_i$	1	$c^i$	$i$	$i^2$	$i!$	$\binom{n}{i}$	$n^{(i)}$
$A^{(e)}(x)$	$e^x$	$e^{cx}$	$xe^x$	$x(x+1)e^x$	$(1-x)^{-1}$	$(1+x)^n$	$(1-x)^{-n}$

Given a coloring  $c \in C$ , the *stabilizer* of  $c$  is the set  $G(c) = \{f \in G \mid f * c = c\}$ .

Given a permutation  $f \in G$ , the *invariant set* of  $f$  is the set  $C(f) = \{c \in C \mid f * c = c\}$ .

Given a coloring  $c \in C$ , the *orbit* of  $c$  is the set  $\bar{c} = \{f(c) \mid f \in G\}$ .

Let  $C$  be the set of all  $k^n$  colorings of  $X$  into  $k$  colors. Then  $|C(f)| = k^{\#(f)}$ , where  $\#(f)$  is the number of cycles in the disjoint cycle factorization of  $f$ .

**Burnside's Lemma** Suppose a group  $G$  of permutations of  $X$  acts on a set  $C$  of colorings of  $X$ . Then the number  $N(G, C)$  of nonequivalent colorings in  $C$  is given by

$$N(G, C) = \frac{1}{|G|} \sum_{f \in G} |C(f)|.$$

Given a permutation  $f \in G$ , the *type* of  $f$  is an  $n$ -tuple  $type(f) = (e_1, e_2, \dots, e_n)$ , where  $e_i$  is the number of  $i$ -cycles in a disjoint cycle factorization of  $f$ .

$$e_1 + e_2 + \dots + e_n = \#(f), \quad 1e_1 + 2e_2 + \dots + ne_n = n.$$

To each permutation  $f \in G$  with type  $type(f) = (e_1, e_2, \dots, e_n)$  we associate a monomial

$$mon(f) = z_1^{e_1} z_2^{e_2} \dots z_n^{e_n}$$

The *cycle index* of  $G$  is

$$P_G(z_1, z_2, \dots, z_n) = \frac{1}{|G|} \sum_{f \in G} mon(f) = \frac{1}{|G|} \sum_{f \in G} z_1^{e_1} z_2^{e_2} \dots z_n^{e_n}.$$

**Theorem** Suppose there are  $k$  colors. Let  $C$  be a set of all  $k^n$  colorings of  $X$ . Then the number  $N(G, C)$  of nonequivalent colorings in  $C$  is given by

$$N(G, C) = P_G(k, k, \dots, k).$$

**Theorem (Polya)** Let  $\{u_1, u_2, \dots, u_k\}$  be a set of  $k$  colors. Let  $C$  be a set of any colorings of  $X$  such that the group  $G$  of permutations of  $X$  acts on the set  $C$ . Then the generating function for the number of nonequivalent colorings in  $C$  according to the number of colors of each kind is given by

$$P_G(u_1 + \dots + u_k, u_1^2 + \dots + u_k^2, \dots, u_1^n + \dots + u_k^n).$$