

A computational investigation of cardiac caveolae as a source of persistent sodium current

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Abstract

Recent studies of cholesterol-rich membrane microdomains, called caveolae, reveal that caveolae are reservoirs of “recruitable” sodium ion channels. Caveolar channels constitute a substantial and previously unrecognized source of sodium current in cardiac cells. In this paper we model for the first time caveolar sodium currents and their contributions to cardiac action potential morphology. We show that the β -agonist-induced opening of caveolae may have substantial impacts on peak overshoot, maximum upstroke velocity, and ultimately conduction velocity. Additionally, we show that prolonged action potentials and the formation of potentially arrhythmogenic afterdepolarizations, can arise if caveolae open intermittently throughout the action potential. Our simulations suggest that caveolar current may constitute a route to delayed repolarization, and the arrhythmias associated with such delays, that are independent of channelopathies.

Key Words: Caveolae, Cardiomyocyte; Caveolin-3; Mathematical Model; β -adrenergic; LQT9

1 Introduction

2 Caveolae are small invaginations of the plasma membrane protruding into the cytosol of sev-
3 eral cell types including cardiac myocytes. Typically, caveolae have a nearly spherical shape
4 with a diameter of 50-100 nm or occur in clusters resembling a bunch of grapes (rosettes).
5 Figure 1 shows an electron micrograph of a rat cardiac myocyte cross section with caveolae
6 distributed densely around the subsarcolemma.

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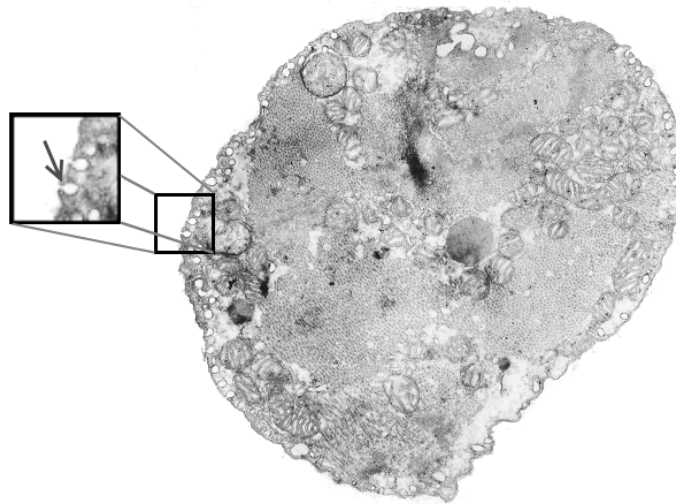


Figure 1: Electron micrograph of an adult rat ventricular myocyte showing caveolae (arrow in inset) around the perimeter. Caveolae are typically spherical in shape with a diameter of approximately 50 - 100 nm and can accumulate in a clustered structure around a common neck.

8 Recent investigations of caveolar function suggest that in addition to being a prominent
9 structural feature on the cardiac subsarcolemma, caveolae also play a role in modulating
10 sodium current via a direct, protein kinase-A (PKA)-independent signaling pathway. Studies
11 reveal that the β -agonist isoproterenol, even in the presence of a PKA inhibitor, substantially
12 increases whole-cell sodium current without changing single-channel dynamics (Lu et al.,
13 1999; Yarbrough et al., 2002). Furthermore, it has been shown that this increase results
14 from a direct interaction of the $G_s\alpha$ -subunit with caveolin-3, the primary scaffolding protein
15 for cardiac caveolae, at a single $G_s\alpha$ amino acid (41histidine) (Shibata et al., 2006; Palygin
16 et al., 2008) (Figure 2). Caveolae are therefore reservoirs of ‘recruitable’ ion channels, and
17 as such, constitute a substantial and previously unrecognized source of inward current that
18 may significantly influence action potential morphology.

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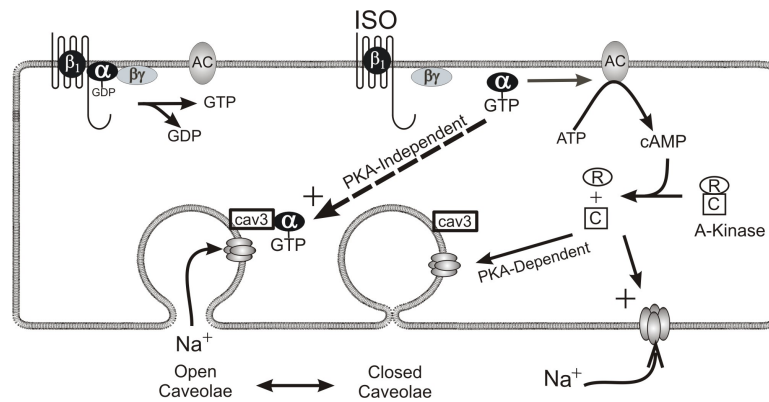


Figure 2: β -adrenergic modulation of cardiac sodium current via two pathways. One pathway enlists PKA's catalytic subunit to increase phosphorylation at the sodium channel thereby changing the kinetics of the channel itself. The second pathway involves a direct interaction between the α -subunit of the stimulatory G-protein and caveolin-3. This interaction results in the presentation of additional functioning sodium channels to the sarcolemma. It is important to note that this direct mechanism does not involve changes to ion channel kinetics.

20 While these studies provide insight into the role of $G_s\alpha$ and caveolin-3 in this process, the
 21 specific biophysics behind the presentation of caveolar sodium channels to the sarcolemma,
 22 remains unclear. It is well-established that caveolae are not merely static structural features
 23 of the cell membrane. One widely held view of caveolar function is that caveolae provide a
 24 clathrin-independent endocytic mechanism (Mineo and Anderson, 2001; Pelkmans and He-
 25 lenius, 2002), so a kiss-and-run model of caveolar dynamics has been proposed (Pelkmans
 26 and Zerial, 2005) in which caveolae cycle between a transport vesicle state and a fused plas-
 27 mallemmal invagination state. Indeed, such a mechanism is reported by Kozera et al (2009)
 28 who show that incubation of the rat ventricular myocyte in a hypertonic solution results in
 29 significant (and reversible) increases in sarcolemmal surface density of caveolar necks, pre-
 30 sumably from some intracellular store of caveolar vesicles.

31 However, there is also compelling evidence to suggest that caveolae instead constitute im-
 32 mobile membrane features, anchored to the subsarcolemma by the actin cytoskeleton (Thom-
 33 sen et al., 2002; van Deurs et al., 2002). In this scenario, caveolar sodium channel recruit-
 34 ment might result from a change in conformation of caveolar necks (from a closed to an open
 35 state) already embedded in the sarcolemma. This is the mechanism hypothesized to underlie
 36 the recruitment of sodium channels via the PKA-independent β -adrenergic signaling path-
 37 way (Shibata et al., 2006; Palygin et al., 2008; Yarbrough et al., 2002). Since the specific
 38 biophysics involved in this recruitment of sodium channels are inconsequential to the formu-
 39 lation of our models, we have chose, for the sake of brevity, to adopt the same terminology
 40 regarding open and closed caveolae in this study. Our goals are to model the effects that the
 41 recruitment of caveolar sodium channels may have on action potential morphology, not to
 42 model the signaling pathway through which this current is added or the specific biophysics
 43 involved.

44 This study investigates the effects of caveolar sodium currents on cardiac action potential
45 in two different scenarios using computational means. We first examine the implications of
46 simply increasing whole-cell sodium current in an existing mathematical model of cardiac
47 action potential. This new model simulates and quantifies the effects of increasing sodium
48 current in proportions that are reported (Lu et al., 1999; Yarbrough et al., 2002; Shibata et al.,
49 2006; Palygin et al., 2008) to result from PKA-independent β -adrenergic modulation. Our
50 results show little change in overall action potential morphology, except during the upstroke
51 where upstroke velocity and peak overshoot are increased, suggesting that conduction veloc-
52 ity in the heart may be affected via this PKA-independent β -adrenergic pathway. Second,
53 we examine an alternative scenario in which caveolar opening events occur throughout the
54 course of an action potential. This scenario is simulated using a modification of the same ex-
55 isting model, but requiring a more sophisticated approach to channel gating to account for the
56 intermittent isolation of caveolar sodium channels. These simulations reveal that differences
57 in the timing of caveolar opening events can have profoundly different effects on cell repo-
58 larization and suggests a possible mechanism underpinning a late, persistent sodium current
59 that is independent of channelopathies.

60 2 Material and methods

61 2.1 Model development

62 In addition to evidence suggesting that caveolar sodium channels are electrically isolated
63 from the extracellular environment in the absence of a β - agonist (Shibata et al., 2006), two
64 other key experimental findings related to the electrophysiological role of caveolae inform the
65 theoretical framework of our models. First, single-caveola patch clamp experiments suggest
66 that most caveolae contain only a single sodium channel (unpublished data), so each closed
67 caveola in our models sequesters exactly one sodium channel. Second, while it is known that
68 caveolae contain other ion conductances (e.g. L-type calcium channels and a variety of K^+
69 channels (Balijepallie et al., 2006)), only sodium conductance has been definitively shown
70 to change via the $G_s\alpha$ /caveolin-3 interaction. It is possible that there exist several subpop-
71 ulations of caveolae containing different distributions of conductances and perhaps different
72 caveolae subpopulations react differently to β -adrenergic stimulation, but since current re-
73 search can only confirm that sodium channels are reversibly presented to the sarcolemma by
74 caveolae, we include no other ion channels, pumps or exchangers in these preliminary mod-
75 els. As more data emerge on the co-distribution of ion channels, exchangers and pumps in
76 the caveolar space, and on the mechanisms involved in ion current modulation by caveolae,
77 these can be incorporated into our models.

78 Experimental data regarding the β -adrenergic response of caveolae come from rat car-
79 diomyocytes, so we use the framework of an existing model of rat cardiac action potential
80 developed by Pandit et al (2001). The difference between our mathematical models and the
81 Pandit et al (2001) model is the addition of caveolar sodium currents. In the first model
82 simulating the β -agonist-modulated increase in sodium current, this caveolar sodium current
83 is reflected by a simple increase in maximum sodium conductance since the single-channel

84 kinetics of caveolar $Na_v1.5$ channels are identical to those on the sarcolemma when the cave-
85 olae are open. All other parameter values in the Pandit et al (2001) endocardial model are left
86 unchanged.

87 In the second model simulating the opening of caveolae throughout the action potential,
88 the caveolar sodium current behaves in a fundamentally different manner because the cave-
89 olae are presenting closed sodium channels to a depolarized sarcolemma over the duration
90 of the action potential. The use of a more sophisticated activation mechanism than the stan-
91 dard Hodgkin-Huxley (1952) formalism is needed to account for this delayed presentation of
92 sodium sources, but all parameter values in the Pandit et al (2001) endocardial model are left
93 unchanged. In this preliminary attempt to model such caveolar opening events, we implement
94 the simplest opening dynamics possible - random openings throughout the action potential.
95 Other dynamics that lead to the opening of caveolae throughout the action potential will give
96 qualitatively similar results. The remainder of this section relates to the formulation of this
97 more sophisticated stochastic model and the ways in which both models are implemented.

98 **2.1.1 Behavior of a single caveola**

99 A closed caveolae is electrically isolated from changes occurring on the sarcolemma, so
100 the membrane of a closed caveola will remain fixed at the membrane potential experienced
101 on the sarcolemma at the time of its closing. Thus, a caveola closing while the cell is at
102 rest will sequester a sodium channel that remains in its closed state as long as the caveola
103 remains closed. Likewise, the closure of a caveola while the membrane is depolarized (and
104 its sequestered sodium channel inactive), will prevent the sequestered sodium channel from
105 returning to its closed state. Therefore, if a caveola is open upon the arrival of a depolarizing
106 stimulus, the sodium channel it contains will respond like any other sodium channel on the
107 sarcolemma, but if the caveola is closed upon the arrival of the stimulus, the channels they
108 sequester will remain closed and non-conducting.

109 It is only if such a caveola opens later in the action potential, when the sarcolemma is
110 still sufficiently depolarized, that its sodium channel will open, conduct, and inactivate. Fur-
111 thermore, no subsequent closing and reopening of these caveola prior to the repolarization of
112 the myocyte will activate these channels since they will not yet have recovered from inacti-
113 vation. This means that the first post-stimulus opening of any caveola which was closed at
114 stimulus, activates a brief single-channel sodium current if the opening event occurs while
115 the sarcolemma is still depolarized. For this reason, the collective contribution of caveolar
116 sodium current to action potential morphology is highly dependent upon the timing of the
117 individual caveolar openings throughout the action potential.

118 While the gating mechanisms of caveolar sodium channels are identical to those of the
119 sarcolemmal sodium channels, caveolar sodium gates do not react to changes in membrane
120 potential until they are presented to the sarcolemma via caveolar opening. Modeling such
121 gating variable dependence upon both the time since stimulus and the time since caveolar
122 opening requires the use of a partial differential equation (PDE) extension of the Hodgkin-
123 Huxley (1952) formalism.

124 **2.1.2 Caveolar first opening probability density**

125 Random openings of caveolae throughout the action potential would imply that the first open-
 126 ing events of caveolae will occur according to a Poisson process, so the probability density
 127 function of first openings of caveolae is given by

$$\rho(t) = \lambda e^{-\lambda t}$$

128 where the Poisson rate parameter, λ , represents the expected number of openings per unit
 129 time. Subsequent openings need not be considered since these openings would present the
 130 sarcolemma with inactive sodium channels. The area under the curve $\rho(t)$ between $[t, t + \Delta t]$
 131 is the probability that a given caveolae opens for the first time between t and $t + \Delta t$ units of
 132 time after the stimulus. For a large number, n , of caveolae

$$n\rho(t)\Delta t \tag{1}$$

133 provides a good approximation of the number of caveolae which experience a first open-
 134 ing in the interval $[t, t + \Delta t]$ provided Δt is sufficiently small.

135 The Pandit et al (2001) model assumes a whole-cell sodium conductance of $1.064 \mu S$
 136 in myocytes with whole-cell capacitance of $100 pF$ and under normal physiological condi-
 137 tions single-channel sodium conductance is approximately $18 pS$ (Aidley, 1998), so the
 138 quotient $\frac{1064000}{18} \approx 59,000$ provides an estimate of the number of sodium channels on the
 139 sarcolemma of a model cell. According to the literature (Lu et al., 1999; Yarbrough et al.,
 140 2002; Shibata et al., 2006; Palygin et al., 2008), sodium current increases by 25-40% via the
 141 PKA-independent β -adrenergic signaling pathway, corresponding to the addition of between
 142 14,750 and 23,600 caveolar sodium channels, so our simulations are conducted with values
 143 of n between 14,000 and 25,000.

144 Other studies of cardiomyocyte ultrastructure and caveolar function have reported den-
 145 sities of 4 (Gabella, 1978) and 6 (Levin and Page, 1980) caveolar necks per μm^2 . Assum-
 146 ing a membrane capacitance of $1 \mu F/cm^2$ these estimates suggest 40,000 and 60,000 caveo-
 147 lae per cell, respectively, in a $100 pF$ cell. Since the experimentally observed increases in
 148 sodium current are inconsistent with such high numbers of sodium channel-containing caveo-
 149 lae, though, we adhere to our more conservative estimates which are still large enough to
 150 ensure the validity of the approximation given by (1) and validity of this continuum density
 151 approach. A larger number of caveolae would not qualitatively change the results of our
 152 modeling but would possibly make them even more substantial.

153 **2.1.3 Channel gating in stochastic caveolae**

154 With such large numbers of caveolae, the kinetics of caveolar sodium channels may be treated
 155 in a deterministic manner using a PDE extension of the Hodgkin-Huxley (1952) formalism.
 156 Caveolar necks act as a chan mechanisms which, when closed, not only prevent the flow of
 157 ions, but also prevent the ion channel gates from reacting to changes in membrane potential
 158 on the sarcolemma. A model of stochastic caveolar current must not only account for how

159 many caveolae are open at a given time, but must also account for the history of each open
160 caveolae.

161 The dependence of the channel gate dynamics on the caveolar opening dynamics forces
162 the gating variables to be functions of not only the time since the depolarizing stimulus, but
163 also the time of caveolar first opening. So, if we let t represent time since the stimulus and τ
164 represent time of a given caveola's first opening, our gating variables each satisfy boundary
165 value problems of the form

$$\begin{cases} \frac{\partial z}{\partial t} = \frac{z_{\infty}(V_m(t)) - z}{\tau_z(V_m(t))} & \text{in } 0 \leq \tau < t \\ z = z_{\infty}(V_m(0)) & \text{on } t = \tau \end{cases} \quad (2)$$

166 where $z \in \{m, h, j\}$ and $V_m(t)$ is the potential across the sarcolemma at time t .

167 Note that we include a second slow inactivation gate, $j(t, \tau)$, in addition to standard h -
168 gate. This slow inactivation gate was first proposed by Haas et al (1971) to account for
169 incongruities that were observed between the time scales of inactivation and recovery from
170 inactivation among sodium channels in frog cardiomyocytes. They concluded that a single
171 inactivation variable was insufficient and that a second slower inactivation mechanism was
172 needed to accurately model the kinetics of sodium channel recovery from inactivation. This
173 amendment to the standard Hodgkin-Huxley kinetics (Hodgkin and Huxley, 1952) was subse-
174 quently adopted by Beeler and Reuter (1977), Luo and Rudy (1991), and Pandit et al (2001),
175 the developers of the model we adapt in this investigation.

176 To understand the meaning of m , h , and j in the context of the stochastic caveolae model,
177 consider the set of caveolae which open at time τ . The product $m^3(t, \tau)h(t, \tau)j(t, \tau)$ repre-
178 sents the proportion of sodium channels contained in this set which are permeable to sodium
179 ions at time t . Since there are approximately $n\rho(\tau)\Delta\tau$ caveolae which open at time τ , then at
180 time t the amount of sodium current due to caveolae which opened at time $\tau < t$, is

$$\gamma_{Na}n\rho(\tau)m^3(t, \tau)h(t, \tau)j(t, \tau)\Delta\tau(V_m(t) - E_{Na})$$

181 where γ_{Na} represents sodium single-channel conductance. The total caveolar sodium current
182 at time t is then the sum of all the sodium currents due to all caveolae which have opened
183 since the stimulus (at $t = 0$). This sum can be written succinctly in the following integral
184 form.

$$I_{cav}(t) = \left(\int_0^t \gamma_{Na}n\lambda e^{-\lambda\tau} m^3(t, \tau)h(t, \tau)j(t, \tau)d\tau \right) (V_m(t) - E_{Na}) \quad (3)$$

185 This additional caveolar current along with the three partial differential equations of the
186 form (2) governing the gating variables are incorporated into the existing Pandit et al (2001)
187 model to create our stochastic caveolae model.

188 2.2 Computational implementation and simulations

189 We implement our models in MATLAB version 7.0.1.15 (The Mathworks, Inc., Natick,
190 MA, USA). The differential equations in the first model simulating the simple β -agonist-
191 modulated increase in sodium current were solved numerically using the built-in MATLAB
192 solver ode23s. The differential equations in the stochastic model were solved numerically
193 using a fourth-order Runge-Kutta routine with a time step of 1 μ sec that was written by the
194 authors. Descriptions of the simulated action potential and simulated voltage clamp protocols
195 are as follows.

196 2.2.1 Action potential protocol

197 The simulated action potential generated by the unmodified Pandit et al (2001) model is used
198 as a baseline and we compare its morphology to the morphology of an action potential gen-
199 erated by simply increasing the maximum sodium conductance from its baseline value of
200 1.046 pS to 1.489 pS , an increase of whole-cell conductance corresponding to the addition
201 of 25,000 caveolar sodium channels to the sarcolemma. To elicit action potentials, we use
202 the same protocol as was employed by Pandit et al(2001) in which an inward depolarizing
203 stimulus, I_{stim} , has the form of a rectangular pulse with an amplitude of 0.6 nA and a duration
204 of 5 msec. Initial conditions were chosen to be consistent with the cell's resting state and a
205 stimulus is applied at $t = 25$ msec. In the absence of any external stimuli, the membrane po-
206 tential tends toward a steady-state value of approximately -81.3 mV, so the initial conditions
207 are the steady-state values associated with this membrane potential.

208 To investigate the effects of random caveolar openings on action potential morphology,
209 we simulate action potentials using the stochastic caveolae model with no change made to
210 maximum sodium conductance of 1.046 pS . Action potentials are elicited by simulating the
211 instantaneous depolarization of the resting cell membrane to a superthreshold level (from -
212 81.3 mV to -50 mV). These action potentials are generated using a wide range of λ -value
213 and n -value combinations. We report our results for select λn -pairs in the following section.
214 Note that a λ -value of 0 produces the same action potential as the unmodified Pandit et al
215 (2001) model.

216 2.2.2 Voltage clamp protocol

217 A simulated voltage clamp protocol is used in conjunction with the stochastic caveolae model
218 with $\lambda = 15$ to examine the effects of randomly opening caveolae on whole-cell sodium cur-
219 rent. The cell membrane is first conditioned at a holding potential of -140 mV until equilib-
220 rium is reached. The membrane potential is then stepped up to a sustained test potential of -20
221 mV and the time course of the resulting whole-cell sodium current (sarcolemmal and caveo-
222 lar sodium currents combined) is plotted. This protocol was run with no caveolae, 14,000
223 caveolae, and 24,000 caveolae, and the graphs of each sodium current time course compared.

224 Since the voltage is fixed during a voltage clamp experiment, every caveolar sodium chan-
225 nel experiences identical conditions upon their presentation to the sarcolemma, so the shape
226 of the time courses of the m -, h -, and j -gates for every caveolar sodium channel are identical,

227 but out of phase depending upon the time at which the caveolae opens. This substantially
 228 simplifies our caveolar sodium current formulation, I_{cav} . For given conditioning and test po-
 229 tentials, V_{cond} and V_{test} respectively, we need only calculate the solutions $m(t)$, $h(t)$, and $j(t)$
 230 to initial value problems of the form

$$\begin{cases} \frac{dz}{dt} = \frac{z_{\infty}(V_{test}) - z}{\tau_z(V_{test})} \\ z(0) = z_{\infty}(V_{cond}) \end{cases}$$

231 where $z \in \{m, h, j\}$, which can be done analytically, and substitute these solutions into
 232 our formulation of the caveolar sodium current. These solutions are simply:

$$z(t) = z_{\infty} - (z_{\infty} - z(0))e^{-\frac{t}{\tau_z}},$$

233 where $z \in \{m, h, j\}$.

234 Then since m , h , and j are explicitly defined functions of time, the caveolar sodium current
 235 in these voltage clamp experiments, denoted $I_{vc,cav}$, reduces to the convolution integral

$$I_{vc,cav}(t) = \left(\int_0^t \gamma_{Na} n \lambda e^{-\lambda \tau} m^3(t - \tau) h(t - \tau) j(t - \tau) d\tau \right) (V_{test} - E_{Na})$$

236 3 Results

237 3.1 Action potential simulations

238 3.1.1 Effects of increased sodium conductance

239 Comparisons of the simulated action potentials generated by the unmodified Pandit et al
 240 (2001) model and those generated with an increase in maximum sodium conduction cor-
 241 responding to the opening of 25,000 caveolae show that the inclusion of caveolar sodium
 242 currents leads to changes in action potential morphology in the upstroke phase. (Figure 3)

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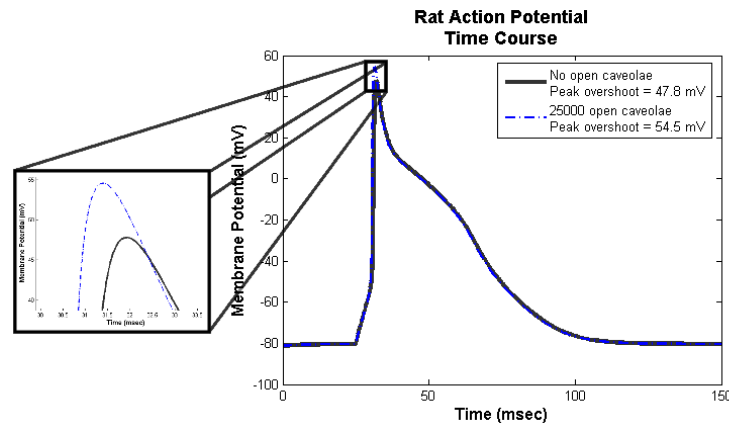


Figure 3: Action potential morphology using the Pandit et al (2001) model with and without caveolar sodium current. Notice that the inclusion of 25,000 open caveolae results in an approximately 5.2% increase in peak overshoot of the action potential. Less evident from the graph is a 29% increase in maximum upstroke velocity, an important factor in determining the speed of the excitatory wave through the cardiac tissue. Action potential duration, is relatively unaffected by the additional sodium conductance introduced by the open caveolae.

244 The most noticeable effect of caveolar sodium current is an increase in peak voltage
 245 from approximately 47.8 mV to 54.5 mV, and increase of approximately 5.2% in overall
 246 height of the action potential. Less apparent from the graph are its effects on the maximum
 247 upstroke velocity. These simulations indicate that the opening of 25,000 caveolae results
 248 in an increase of $55 \text{ mV}/\text{msec}$ in maximum upstroke velocity, an increase of approximately
 249 29%. Aside from these differences, the overall action potential morphology is changed very
 250 little by the inclusion of a caveolar sodium current. Changes in maximum upstroke velocity,
 251 however, are known to have significant effects on conduction velocity of the excitatory wave
 252 in cardiac tissue (Walton and Fozzard, 1983), so future studies on the role of β -agonists in
 253 caveolar sodium current modulation may also reveal a conduction velocity-modulating role.

254 3.1.2 Effects of random caveolar openings

255 Comparisons of the simulated action potentials generated with the stochastic caveolae model
 256 indicate that the action potential morphology shows strong dependence on both λ and n . In
 257 each of the cases shown, the inclusion of sodium current from stochastic caveolae results in
 258 a substantial delay in myocyte repolarization, and in some cases, reactivation of the calcium
 259 current leading to an early afterdepolarization (EAD) (Figure 4).

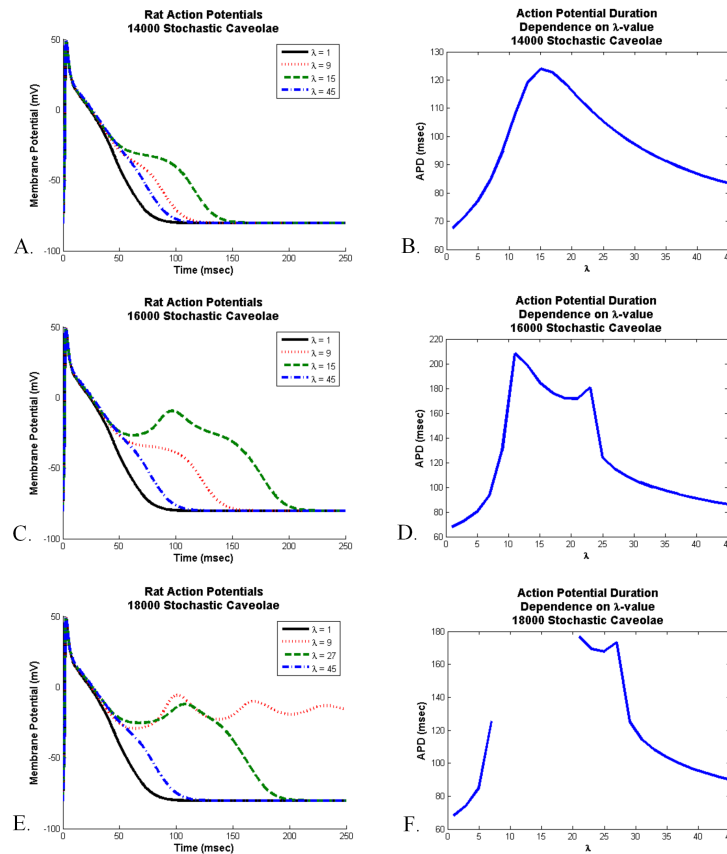


Figure 4: Effects of stochasticity in caveolar openings on action potential morphology and afterdepolarization formation. Action potential time courses are shown over a range of λ -values (left panels), where lambda represents the number of caveolar openings per second. Action potential repolarization (right panels) becomes increasingly delayed as the frequency of caveolar openings increases. Interestingly, increasing caveolar density results in fundamentally different dynamics. (A) Graphs of the action potential morphologies with 14000 caveolae for a range of λ -values and (B) the associated action potential duration dependence on λ show that substantial delays in repolarization occur for λ -values chosen near 15, but no early afterdepolarizations. (C) Early afterdepolarizations do occur for small range of λ -values when the number of caveolae is increased to 16000 and (D) even more substantial delays in repolarization result. (E) If the number of caveolae is increased still further to 18000, then early afterdepolarizations occur for certain values of λ . Furthermore, a range of λ -values exists for which the delays in repolarization last long enough for the system to settle into a new equilibrium near a membrane potential of approximately -5 mV. (F) This complete elimination of the repolarization phase shows up as a discontinuity between $\lambda \approx 7$ and $\lambda \approx 21$ in the graph of action potential duration dependence on λ -value.

261 Notice that in all three cases shown in Figure 4 relatively small and relatively large λ -
262 values both correspond to relatively small delays in repolarization whereas intermediate λ -
263 values correspond to much more substantial delays in repolarization. The key difference
264 between the three cases illustrated in Figure 4 is that at these intermediate λ -values, increases
265 in the number of caveolae result in fundamental changes in the nature of the repolarization
266 delays. With 14000 caveolae, we see an elongation of the action potential due entirely to
267 the inward caveolar sodium current which persists late in the action potential, but for all
268 values of λ , the action potential time course is monotone decreasing after peak overshoot.
269 If the number of caveolae is increased to 16000, then for a range of λ -values near $\lambda = 15$,
270 a secondary spike (an EAD) in membrane potential interrupts the repolarization phase. In
271 Figure 5 we see that this secondary spike is caused by a reactivation of the calcium current
272 which is consistent with the EAD mechanisms identified experimentally (January and Riddle,
273 1989; Zeng and Rudy, 1995). If we increase the number of caveolae still further to 18000,
274 then we not only see EADs for certain values of λ , but for a range of λ -values between
275 approximately 7 and 21 a train of EADs serves to extinguish repolarization entirely and the
276 system tends toward a new steady-state at a substantially depolarized membrane potential.

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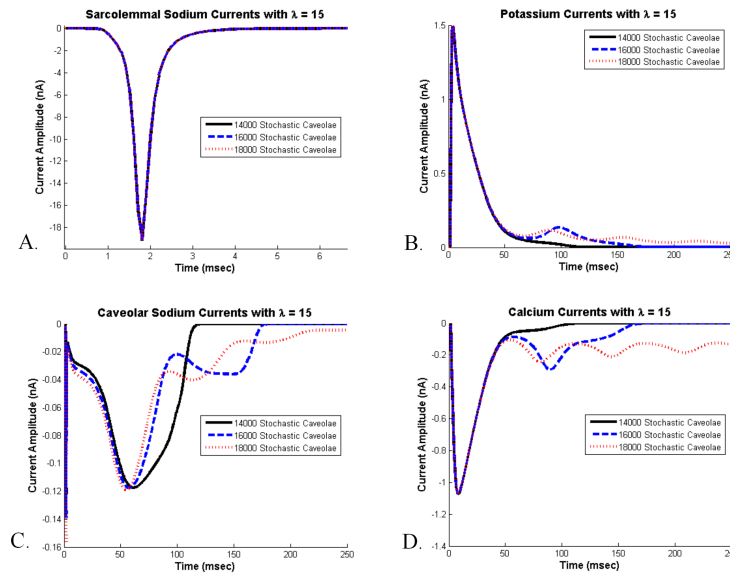


Figure 5: Effects of caveolar density variation on ionic current time courses for λ fixed. In this scenario, we have fixed λ at 15 and have superimposed plots of (A) the transient sodium current, I_{Na} , (B) the potassium current, I_t , (C) the caveolar sodium current, I_{cav} , and (D) the L-type calcium current, I_{CaL} , associated with 14000, 16000 and 18000 stochastic caveolae. When 16000 and 18000 caveolae are included, calcium reactivation occurs leading to a single early afterdepolarization with 16000 caveolae and a series of afterdepolarizations with 18000 caveolae. In the case of 18000 caveolae, repolarization is delayed long enough for the slower variables to enter the basin of attraction for a new fixed point and the system settles into a new equilibrium state which may not have any physiological relevance.

278 3.2 Voltage clamp simulations

279 Comparisons of simulated voltage clamp-induced whole-cell sodium currents produces a per-
 280 sistent sodium current similar to those seen experimentally in cases of incomplete sodium
 281 channel inactivation (Figure 6). The solid curve represents the transient sodium current
 282 through $Na_v1.5$ sodium channels on the sarcolemma while the dashed and dotted curves rep-
 283 resent the total sodium current (the sum of this sarcolemmal and caveolar sodium currents)
 284 with $n = 14000$ and $n = 24000$, respectively, in the stochastic caveolae model. Importantly,
 285 this persistent current occurs in the absence of any simulated channelopathy and is the con-
 286 sequence of caveolar stochasticity alone.

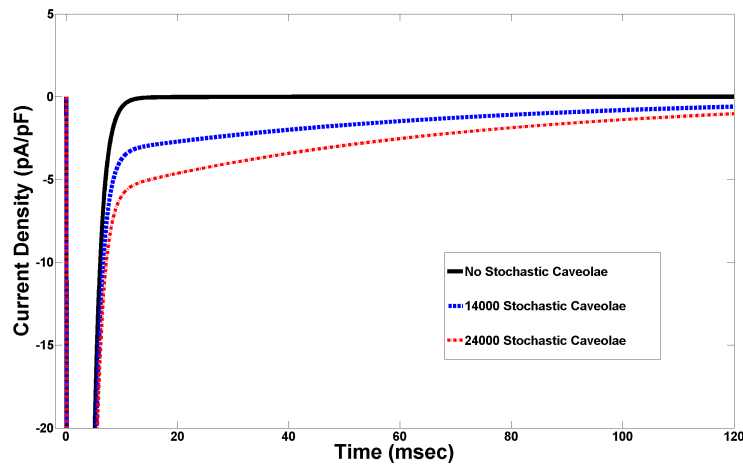


Figure 6: Voltage clamp eliciting a late, persistent sodium current. Sustained depolarization to -20 mV from a conditioning voltage of -140 mV, activates current from sodium channels on the sarcolemma and from sodium channels in any caveolae which open during this sustained depolarization. Under normal conditions in which no caveolae open we see the rapid and complete inactivation of sodium current following the step up to -20 mV. However, if caveolae stochastically open throughout the simulated voltage clamp experiment, a sodium current carried by channels in these caveolae persists at nontrivial levels long past inactivation of the sarcolemmal sodium current. These plots were generated using $\lambda = 15$ and the persistent sodium currents associated with 14000 caveolae and 24000 caveolae are shown.

288 4 Discussion

289 4.1 Caveolar sodium current effects on action potential morphology

290 The results of our simulations show that the direct, PKA-independent β -agonist-induced in-
 291 creases in sodium current that have been observed in the context of voltage clamp experiments
 292 may have nontrivial effects on the cardiac action potential morphology, particularly on action
 293 potential upstroke. The inclusion of caveolar sodium currents lead to increases in peak volt-
 294 age overshoot and maximum upstroke velocity compared with baseline simulation data. A
 295 study conducted by Walton and Fozzard (1983) found a conduction speed dependence upon
 296 maximum upstroke velocity, so our results suggest a PKA-independent β -adrenergic role in
 297 regulating conduction in cardiac tissue. The authors reported that the normalized conduction
 298 velocity is directly related to the square root of the normalized maximum upstroke velocity.
 299 Given this relationship, our results indicate that the caveolar-associated PKA-independent -
 300 adrenergic pathway alone can be expected to increase conduction velocity by up to approxi-
 301 mately 13.5%.

302 4.2 Caveolar stochasticity as an arrhythmogenic mechanism

303 We have shown that substantial delays in repolarization and early afterdepolarizations, can
304 result for a variety of Poisson rate constants and caveolar densities in our stochastic caveolae
305 model. Additionally, voltage clamp simulations demonstrate that stochastic opening of a car-
306 diomyocyte's caveolae can produce a late, persistent sodium current similar to those usually
307 seen in cases of channelopathies linked to incomplete sodium inactivation. Importantly, our
308 models do not include any changes in sodium single-channel kinetics.

309 Such delays in repolarization and early afterdepolarizations are the electrophysiologi-
310 cal hallmarks of a form of congenital arrhythmia syndrome known as Long-QT Syndrome
311 (LQTS), characterized by prolonged QT interval on the electrocardiogram and increased risk
312 for sudden death. Interestingly, not only has caveolin-3 been shown to be critically involved
313 in the opening of caveolae (Shibata et al., 2006; Palygin et al., 2008), but mutations in *CAV3*,
314 the gene which encodes for caveolin-3, are known to be associated with a type of LQTS
315 deemed LQT9 (Vatta et al., 2006). Vatta et al (2006) show that human ventricular myocytes,
316 like those of rat, also exhibit colocalization of caveolin-3 and $Na_v1.5$ sodium channels to
317 caveolae. They report that expression of certain types of mutant caveolin-3 correlates with
318 the incidence of LQT3-like symptoms in patients and the presence of a late, persistent $Na_v1.5$
319 current in cells expressing these mutant proteins.

320 Vatta et al (2006) hypothesize that a mutant caveolin-3/ $Na_v1.5$ interaction induces a gain-
321 of-function channelopathy which results in the observed persistent sodium current and re-
322 lated incidence of LQT9. Our findings suggest a possible alternative hypothesis. Since a
323 direct caveolin-3/ $G_s\alpha$ interaction is known to result in the presentation of caveolar sodium
324 channels to the sarcolemma, it is reasonable to believe that mutant caveolin-3 might give rise
325 to pathological caveolar opening dynamics. If the mutations in *CAV3* identified by Vatta et
326 al (2006) were to induce stochastic opening of caveolae, then this investigation suggests that
327 similar persistent sodium currents could arise without any gain-of-function channelopathy.
328 In fact, with $\lambda = 15$ and n -values in the range we tested, the simulated persistent sodium cur-
329 rents we generate (Figure 6) are in good agreement with the experimental results of Vatta et
330 al(2006) which showed that a several *CAV3* mutations result in persistent sodium currents of
331 2 - 4 pA/pF under the same voltage clamp protocol. Future studies are required to determine
332 whether specific mutations (e.g. G56S) alter caveolin-3/ $G_s\alpha$ interactions thereby altering
333 caveolae opening kinetics, but our results support this novel hypothesis that abnormal caveo-
334 lar dynamics may provide a link between mutant caveolin-3 and persistent sodium current in
335 LQT9.

336 4.3 Limitations

337 Since the caveolar first opening probability density function decays asymptotically to zero as
338 a function of time, so also does the caveolar sodium current in our voltage clamp simulation.
339 Therefore, we are unable to simulate a truly persistent sodium current, but in the short term
340 (50-100 msec after sarcolemmal sodium channels have completely inactivated) our results are
341 still in close agreement with the those generated by Vatta et al(2006). One explanation for
342 the lack of ultimate current decay in experimental results is that there may exist a mechanism

343 by which some caveolar membranes can return to near resting potentials thereby allowing
344 the sodium channels they contain to recover from inactivation. Subsequent opening of such
345 caveolae would allow for the reopening of their sodium channels and an additional inward
346 sodium current. Further computational and experimental studies are necessary to determine
347 if a caveolar mechanism may exist that could give rise to an indefinitely persistent current.

348 Additionally, the inclusion of only sodium conductance in the caveolar domains is a
349 known limitation of our models. Since only increases in sodium due to the opening of caveo-
350 lae have thus far been measured experimentally, we have limited the scope of this preliminary
351 work to the effects of caveolar sodium current alone. However, future models will include
352 other caveolar ion conductances and will examine the differences in action potential mor-
353 phology that result.

354 Lastly, due to its detail and reliability at simulating rat cardiac action potentials, the Pan-
355 dit et al (2001) model was a logical choice to be used in this investigation. However, this
356 choice limited the cell types that we could simulate. Future studies may make consider mul-
357 tiple cell types, may make use of models of human cardiac action potential, and may model
358 the propagation of the excitatory wave through coupled cells using a one-dimensional cable
359 model.

360 **4.4 Implications**

361 This investigation suggests that a previously unrecognized biophysical mechanism may un-
362 derlie certain types of Long-QT Syndrome, one that is based on pathological caveolar kinetics
363 rather than pathological channel kinetics. Given these findings, and the results of previous
364 experimental studies of caveolar function, we believe caveolae play a substantial, but largely
365 unrecognized, role in cardiac electrophysiology and arrhythmogenesis. New experiments in-
366 vestigating this role are needed if we are to generate a more detailed understanding of both
367 cardiac β -adrenergic response and possible caveolae-related arrhythmogenic mechanisms.

368 **Conflict of interest statement**

369 This research was conducted in the absence of any commercial or financial relationships that
370 could be construed as potential conflicts of interest.

371 **Acknowledgments**

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Figure captions

Figure 1: Electron micrograph of an adult rat ventricular myocyte showing caveolae (arrow
in inset) around the perimeter. Caveolae are typically spherical in shape with a diameter

of approximately 50 - 100 nm and can accumulate in a clustered structure around a common neck.

Figure 2: β -adrenergic modulation of cardiac sodium current via two pathways. One pathway enlists PKA's catalytic subunit to increase phosphorylation at the sodium channel thereby changing the kinetics of the channel itself. The second pathway involves a direct interaction between the α -subunit of the stimulatory G-protein and caveolin-3. This interaction results in the presentation of additional functioning sodium channels to the sarcolemma. It is important to note that this direct mechanism does not involve changes to ion channel kinetics.

Figure 3: Action potential morphology using the Pandit et al (2001) model with and without caveolar sodium current. Notice that the inclusion of 25,000 open caveolae results in an approximately 5.2% increase in peak overshoot of the action potential. Less evident from the graph is a 29% increase in maximum upstroke velocity, an important factor in determining the speed of the excitatory wave through the cardiac tissue. Action potential duration, is relatively unaffected by the additional sodium conductance introduced by the open caveolae.

Figure 4: Effects of stochasticity in caveolar openings on action potential morphology and afterdepolarization formation. Action potential time courses are shown over a range of λ -values (left panels), where lambda represents the number of caveolar openings per second. Action potential repolarization (right panels) becomes increasingly delayed as the frequency of caveolar openings increases. Interestingly, increasing caveolar density results in fundamentally different dynamics. (A) Graphs of the action potential morphologies with 14000 caveolae for a range of λ -values and (B) the associated action potential duration dependence on λ show that substantial delays in repolarization occur for λ -values chosen near 15, but no early afterdepolarizations. (C) Early afterdepolarizations do occur for small range of λ -values when the number of caveolae is increased to 16000 and (D) even more substantial delays in repolarization result. (E) If the number of caveolae is increased still further to 18000, then early afterdepolarizations occur for certain values of λ . Furthermore, a range of λ -values exists for which the delays in repolarization last long enough for the system to settle into a new equilibrium near a membrane potential of approximately -5 mV. (F) This complete elimination of the repolarization phase shows up as a discontinuity between $\lambda \approx 7$ and $\lambda \approx 21$ in the graph of action potential duration dependence on λ -value.

Figure 5: Effects of caveolar density variation on ionic current time courses for λ fixed. In this scenario, we have fixed λ at 15 and have superimposed plots of (A) the transient sodium current, I_{Na} , (B) the potassium current, I_t , (C) the caveolar sodium current, I_{cav} , and (D) the L-type calcium current, I_{CaL} , associated with 14000, 16000 and 18000 stochastic caveolae. When 16000 and 18000 caveolae are included, calcium reactivation occurs leading to a single early afterdepolarization with 16000 caveolae and a series of afterdepolarizations with 18000 caveolae. In the case of 18000 caveolae, repolarization is delayed long enough for the slower variables to enter the basin of attraction

for a new fixed point and the system settles into a new equilibrium state which may not have any physiological relevance.

Figure 6: Voltage clamp eliciting a late, persistent sodium current. Sustained depolarization to -20 mV from a conditioning voltage of -140 mV, activates current from sodium channels on the sarcolemma and from sodium channels in any caveolae which open during this sustained depolarization. Under normal conditions in which no caveolae open we see the rapid and complete inactivation of sodium current following the step up to -20 mV. However, if caveolae stochastically open throughout the simulated voltage clamp experiment, a sodium current carried by channels in these caveolae persists at nontrivial levels long past inactivation of the sarcolemmal sodium current. These plots were generated using $\lambda = 15$ and the persistent sodium currents associated with 14000 caveolae and 24000 caveolae are shown.

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