

Improvement to subconvexity bounds for Rankin-Selberg L -functions of cusp forms

Amélioration de majoration sous-convexité des fonctions L de Rankin-Selberg de formes cuspidales

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Abstract

We will prove a new subconvexity bound for the Rankin-Selberg L -function $L(s, f \otimes g)$ on $\Re s = 1/2$ in the k aspect, where f is either a holomorphic cusp form for $\Gamma_0(N)$ of weight k , or a Maass cusp form with Laplace eigenvalue $1/4 + k^2$, and g is a fixed holomorphic or Maass cusp form:

$$L(1/2 + it, f \otimes g) \ll_{N,t,g,\epsilon} k^{1-1/(8+4\theta)+\epsilon},$$

where θ is from bounds toward the generalized Ramanujan conjecture and we can take $\theta = 7/64$. *To cite this article: Y.-K. Lau, J. Liu, Y. Ye, C. R. Acad. Sci. Paris, Ser. I 339 (2004).*

Résumé

We will prove a new bound for a shifted convolution sum of Fourier coefficients of a cusp form, and continue it meromorphically to $\Re s > 1/2$, passing through possible poles resulted from exceptional eigenvalues of the Laplacian. As an application, we obtain a new subconvexity bound for the Rankin-Selberg L -function $L(s, f \otimes g)$ on $\Re s = 1/2$ in the k aspect, where f is either a holomorphic cusp form for $\Gamma_0(N)$ of weight k , or a Maass cusp form with Laplace eigenvalue $1/4 + k^2$, and g is a fixed holomorphic or Maass cusp form. An important feature of our new result is that a trivial $\theta = 1/2$ still yields a subconvexity bound. *Pour citer cet article : Y.-K. Lau, J. Liu, Y. Ye, , C. R. Acad. Sci. Paris, Ser. I 339 (2004).*

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1. Introduction

Let f be a holomorphic Hecke eigenform for $\Gamma_0(N)$ of weight k , and g a fixed holomorphic or Maass cusp form. Sarnak [7] proved that

$$L(1/2 + it, f \otimes g) \ll_{N,t,g,\epsilon} k^{576/601+\epsilon}, \quad (1.1)$$

while the convexity bound from Phragmén-Lindelöf principle is merely $\ll k^{1+\epsilon}$. The proof of this subconvexity bound made use of a bound toward the Ramanujan conjecture with $\theta = 7/64$ ([6]):

$$\begin{aligned} |\alpha_\pi^{(j)}(p)| &\leq p^\theta \text{ for } p \text{ at which } \pi \text{ is unramified,} \\ |\Re \mu_\pi^{(j)}(\infty)| &\leq \theta \text{ if } \pi \text{ is unramified at } \infty, \end{aligned} \quad (1.2)$$

where π is an automorphic cuspidal representation of $GL_2(\mathbb{Q}_A)$ with unitary central character and local Hecke eigenvalues $\alpha_\pi^{(j)}(p)$ for $p < \infty$ and $\mu_\pi^{(j)}(\infty)$ for $p = \infty$, $j = 1, 2$.

If f is a Maass Hecke eigenform for $\Gamma_0(N)$ with Laplace eigenvalue $1/4 + k^2$, [3] proved similar subconvexity bounds. While the exponent $(3 + 2\theta)/4 + \epsilon$ as claimed there does not hold because of a gap in §§4.14 and 4.15, the paper did prove a subconvexity bound

$$L(1/2 + it, f \otimes g) \ll_{N,t,g,\epsilon} k^{(15+2\theta)/16+\epsilon}, \quad (1.3)$$

as pointed out in the first sentence in §4.14. With $\theta = 7/64$, this means that we have $\ll k^{487/512+\epsilon}$.

Bounds (1.2) toward the Ramanujan conjecture played a crucial role in (1.1) and (1.3) – a nontrivial $\theta < 1/2$ is essential to get a subconvexity estimate. It is believed, however, that the Ramanujan conjecture is irrelevant to the Lindelöf hypothesis $L(1/2 + it, f \otimes g) \ll k^\epsilon$ ([8]). The main goal of the present paper is to give an evidence to this, i.e., to give a subconvexity bound which does not rely on bounds toward the Ramanujan conjecture.

Theorem 1.1 *Let f be a holomorphic Hecke eigenform for $\Gamma_0(N)$ of weight k , or a Maass Hecke eigenform for $\Gamma_0(N)$ with Laplace eigenvalue $1/4 + k^2$, and let g be a fixed holomorphic or Maass cusp form. Then*

$$L(1/2 + it, f \otimes g) \ll_{N,t,g,\epsilon} k^{1-1/(8+4\theta)+\epsilon}. \quad (1.4)$$

Note that by taking the trivial $\theta = 1/2$, (1.4) yields a subconvexity bound $k^{9/10+\epsilon}$ which is already an improvement to (1.1) and (1.3).

We will follow closely the arguments and notation of [7] and [3]. Moreover we only work out the case of holomorphic g and indicate the modification for the case of Maass forms. The key ingredient is a refinement of [7], Theorems A.1 and A.2, on the shifted convolution sum

$$D_g(s, \nu_1, \nu_2, h) = \sum_{\substack{m, n > 0 \\ \nu_1 m - \nu_2 n = h}} \lambda_g(n) \overline{\lambda_g(m)} \left(\frac{\sqrt{\nu_1 \nu_2 m n}}{\nu_1 m + \nu_2 n} \right)^{l-1} (\nu_1 m + \nu_2 n)^{-s} \quad (\Re s > 1).$$

Theorem 1.2 *The function $D_g(s, \nu_1, \nu_2, h)$ admits an analytic continuation to a meromorphic functions on $\Re s > 1/2$, with at most a finite number of poles $s_j \in (1/2, 1/2 + \theta]$ due to possible exceptional eigenvalues $\lambda_j = s_j(1 - s_j)$ of the Laplacian. Moreover, for any $\epsilon > 0$, we have*

$$D_g(s, \nu_1, \nu_2, h) \ll_{\epsilon, \nu_1, \nu_2, g} |h|^{1/2 - \sigma + \theta + \epsilon} (|t| + 1)^{1+\epsilon} \quad (\sigma \geq 1/2 + \epsilon, |t| \geq 1). \quad (1.5)$$

To prove it, we follow [7] and use the “spectral decomposition” of $D_g(s, \nu_1, \nu_2, h)$ in [7], (A19). The first sum there runs over the discrete spectrum which consists of two types of terms from $t_j \in i\mathbb{R}$ (exceptional)

and $t_j \in \mathbb{R}$. There are only a finite number (whose value depends on $\Gamma_0(N)$, ν_1 , and ν_2) of exceptional terms. Each term is meromorphic on $\Re s > 1/2$ with exactly one pole at $s_j \in (1/2, 1/2 + \theta]$ in this half-plane. We write $R_h(s)$ for the sum of all exceptional terms. When t_j is exceptional, $\cosh(\pi t_j/2) \ll 1$ and $\rho_j(h) \ll |h|^{\theta+\epsilon}$ by [7], (A16). Together with $|\langle V, \phi_j \rangle| \leq \|V\| \|\rho_j\| \ll_{j,g} 1$, and $|\Gamma(\sigma + i\tau)| \asymp |\tau|^{\sigma-1/2} e^{-\pi|\tau|/2}$ (for $|\sigma| \ll 1$ and $|\tau| \geq 1$), $R_h(\sigma + it) \ll_{\nu_1, \nu_2, g} h^{1/2-\sigma+\theta+\epsilon}$ for $\sigma \geq 1/2 + \epsilon$ and $|t| \geq 1$. Now, all remaining terms in the discrete sum and the integrands in the continuous part are holomorphic on $\Re s > 1/2$. Once we justify the absolute convergence of the remaining sum and the integrals, we can conclude the holomorphy of $D_g(s, \nu_1, \nu_2, h) - R_h(s)$ on $\Re s > 1/2$. To this end, we make use of the fast decay of the gamma functions from the inner product with U_h and the inequality [2], Theorem 1,

$$\sum_{0 \leq t_j \leq T} |\langle V, \psi_j \rangle|^2 e^{\pi t_j} + \sum_{\mathfrak{a}} \int_{-T}^T |\langle V, E_{\mathfrak{a}}(\cdot, 1/2 + i\tau) \rangle|^2 e^{\pi|\tau|} d\tau \ll_{\nu_1, \nu_2, g} T^{2l}. \quad (1.6)$$

By Cauchy-Schwarz's inequality, we get the convergence and the upper estimate (1.5) immediately.

2. Proof of Theorem 1.1

We will give a proof of Theorem 1.1 for f being Maass. The holomorphic case can be treated likewise.

Following [7] and [3] closely, we take $K^{1/2} \leq L \leq K/4$ and $K^{2-\delta} \leq Y \leq K^{2+\epsilon}$ for a small $\delta > 0$. Let H be a smooth function of compact support in $(1, 2)$. Take $0 \leq 2\mu \leq \nu < N$, $0 \leq j < 2N$, and $\eta = (\eta_1, \eta_2, \eta_3)$ with $\eta_j = \pm 1$. From [3] (4.13)-(4.15) and (4.17), we have for any $\sigma > 1$,

$$P(c, h, Y) = KY^{(\mu-j-k-1)/2} (2Y)^\sigma \int_{\mathbb{R}} D_g(\sigma + i\tau, 1, 1, h) (2Y)^{i\tau} \\ \times \iint f(z, t) H(z) \overline{H}\left(\frac{t^2}{z}\right) \left(z + \frac{h}{2Y}\right)^{\sigma-1} e(\Phi(z, t, \tau)) dz dt d\tau$$

where $f(z, t)$ is a nice function and

$$\Phi(z, t, \tau) = \frac{\tau}{2\pi} \log\left(z + \frac{h}{2Y}\right) + \frac{2th\eta_2}{cz(1 + \sqrt{1 + h/(Yz)})} - \frac{\eta_3 K^2 c}{4\pi^2 t Y}.$$

As $c \leq Y/(LK^{1-\epsilon})$ and $|h| \leq K^{2+\epsilon} c^2/Y$, we have $K^2 c/Y \leq (K/L)^{1+\epsilon}$ and $|h|/c \leq (K/L)^{1+\epsilon}$. If $|\tau| > (K/L)^{1+\delta}$ for a small positive δ , the partial derivatives of Φ with respect to z will satisfy $|\partial_z \Phi| \gg |\tau|$ and $\partial_z^n \Phi \ll_n |\tau|$ for all $n \geq 2$. Consequently, we can apply integration by parts to the integral with respect to z many times by integrating $e(\Phi(z, t, \tau)) \partial_z \Phi$ and differentiating the rest of the integrand. The z -integral is thus $O(K^{-N})$ and results in a negligible term. When $|h|/c \geq K^\epsilon$ and $|\tau| \leq 1$, this argument also applies since $|\partial_z \Phi| \gg K^\epsilon$ now due to the second term. It remains to treat $1 \leq |\tau| \leq (K/L)^{1+\epsilon}$ for this range of h . To this end we move the two vertical line segments to $\sigma = 1/2 + \epsilon$ and apply Theorem 1.2. (Note that the integrals over the horizontal line segments can be neglected by integration by parts as above.) Therefore,

$$P(c, h, Y) \ll KY^{(\mu-j-k)/2+\epsilon} \int_{1 \leq |\tau| \leq (K/L)^{1+\epsilon}} (1 + |\tau|)^{1+\epsilon} d\tau \cdot |h|^{\theta+\epsilon} \ll KY^{(\mu-j-k)/2+\epsilon} \left(\frac{K}{L}\right)^{2+\epsilon} |h|^{\theta+\epsilon}. \quad (2.1)$$

When $|h| \leq cK^\epsilon$, we observe that the partial derivative $\partial_t \Phi$ (with respect to t) is $\gg K^2 c/Y \gg K^{\delta-\epsilon}$ when $c \geq K^\delta$ for small positive δ . (The third term dominates.) Consequently, this case can be ignored by

repeating the above trick but this time integrating with respect to t . For the remaining case $|h| \leq cK^\epsilon$ and $c \leq K^\epsilon$, the trivial bound $P(c, h, Y) \ll KY^{(\mu-j-k+1)/2+\epsilon}$ is sufficient. (Take $\sigma = 1 + \epsilon$ in [3], (4.21).)

Now, applying the well-known formula [4], (2.26), for Ramanujan sum to [3], (4.9), we obtain, instead of [3], (4.11), the following:

$$\tilde{T}_{\mu,\nu,j}^{(\eta)}(Y) \ll \frac{Y^{(\mu-j+1)/2}}{L^{2\nu-1}} \sum_{0 \leq k \leq N} \frac{1}{k!} \left(\frac{L^2}{2\pi\sqrt{Y}} \right)^k \sum_{\delta \leq Y/(LK^{1-\epsilon})} \delta \sum_{\substack{c \leq Y/(LK^{1-\epsilon}) \\ \delta|c}} c^{j+k-\mu-1} \sum_{\substack{|h| \leq K^{2+\epsilon}c^2/Y \\ \delta|h}} |P(c, h, Y)|.$$

Plainly, the innermost double sum over $c \leq K^\epsilon$ and $|h| \leq cK^\epsilon$ yields $O(\delta^{-2}K^{1+\epsilon}Y^{(\mu-j-k+1)/2+\epsilon})$ using the trivial bound for $P(c, h, Y)$, while its remnant is $O(\delta^{-2}K^3Y^{1+\theta+\epsilon}L^{-4-2\theta}(\sqrt{Y}/(LK))^{j+k-\mu})$ by (2.1).

Finally, as $0 \leq 2\mu \leq \nu$, we get a bound for $\tilde{T}_{\mu,\nu,j}^{(\eta)}(Y)$:

$$\begin{aligned} &\ll \frac{Y^{(\mu-j+1)/2+\epsilon}}{L^{2\nu-1}} \sum_{0 \leq k \leq N} \frac{1}{k!} \left(\frac{L^2}{2\pi\sqrt{Y}} \right)^k \left\{ K^{1+\epsilon}Y^{(\mu-j-k+1)/2} + \frac{K^3Y^{1+\theta+\epsilon}}{L^{4+2\theta}} \left(\frac{\sqrt{Y}}{LK} \right)^{j+k-\mu} \right\} \quad (2.2) \\ &\ll LKY^{1+\epsilon} \frac{Y^\mu}{L^{2\nu}} \sum_{0 \leq k \leq N} \frac{1}{k!} \left(\frac{L^2}{2\pi Y} \right)^k + LKY^{1+\epsilon} \frac{Y^{1/2+\theta}K^2(LK)^\mu}{L^{4+2\theta}L^{2\nu}} \sum_{0 \leq k \leq N} \frac{1}{k!} \left(\frac{L}{2\pi K} \right)^k \ll LKY^{1+\epsilon} \end{aligned}$$

when $L^{4+2\theta} \geq K^{3+2\theta+\epsilon}$, i.e., $L \geq K^{1-1/(4+2\theta)+\epsilon}$. The subconvexity bound then becomes (1.4).

Remark. The above argument works for Maass g , but an analogue of Theorem 1.2 is needed. We follow the proof of [7], Theorem A.2, and, like Theorem 1.2, substitute [7], (A33), with an inequality of the type in (1.6) which is available in [1] or [5]. Thus we derive an analytic continuation of I defined as in [7], (A30), and the estimate (1.5) for I when $\sigma \geq 1/2 + \epsilon$ and $|t| \geq 1$. However, the integral I is not exactly the same as our desired $D_g(s, \nu_1, \nu_2, h)$. To get it, we proceed with the steps in [7], (A37), and note that the O -term produced from the tail of the hypergeometric function converges for $\sigma > 0$. This can be seen from the estimate $\sum_{m \ll N} |\lambda_g(m)|^2 \ll N$ (see [4], Theorem 3.2). Thus for Maass g , $D_g(s, \nu_1, \nu_2, h)$ is meromorphic on $\Re s > 1/2$ with poles arising from the exceptional eigenvalues, and

$$D_g(\sigma + it, \nu_1, \nu_2, h) \ll |h|^{1/2-\sigma+\theta+\epsilon}(1+|t|)^{1+\epsilon} + |h|^{1-\sigma}$$

for $\sigma \geq 1/2+\epsilon$ and $|t| \geq 1$. The extra term $|h|^{1-\sigma}$ will produce a quantity $O(KY^{(\mu-j-k)/2+\epsilon}|h|^{1/2}(K/L)^{1+\epsilon})$ in (2.1), which is admissible in comparison with the original estimate there.

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